OR 683 / SYS 680 / ECE 670 Principles of C4I

Instructor: Daniel T. Maxwell, Ph.D. Location: University Hall Room 1202

I. Objective

The course seeks to provide students with a balanced overview of the basic principles of C4I (Command, Control, Communications, Computers, & Intelligence). The successful student will understand the complex relationship that exists among the engineering, psychological, and social issues that must be addressed in the design, development, deployment, and application of C4I systems.

II. Course Theme

Command and Control (C2) has been studied for centuries in the context of military operations. Over the past twenty-five years C2 studies and thinking has been extended to include the contributions and role of communication, computers, intelligence, (C4I) technologies. Additionally, C4I studies are now an integral part of disaster and emergency response management, as well as other complex operations. This course will provide engineering students with an appreciation of the complexities involved in the design and development of a "System of Systems". The scope will include military command and control, as well as the theory, application and practice including C2 technologies and the design of C2 applications."

The course will consist of a mixture of lectures, guest speakers, and practical exercises intended to provide students with an appreciation of the multi-disciplinary nature of the problem and some strategies for meeting the challenges presented when providing engineering support for complex systems of systems.

III. Readings

- a. Sweeney, M. (2002) *An Introduction to Command and Control*, Naval Post Graduate School, Monterey. (Available on Kindle)
- b. Alberts, D. & Hayes R. (2003) *Power to the Edge*, Command and Control Research Program, Washington D.C.
- c. Maxwell, D. & Tucker, C. (2014) "Refining The Intelligence Cycle: Adapting to an Era of Population-Centric Security Challenges", in Human Geography: Socio-Cultural Dynamics and Challenges to Global Security, USGIF Monograph Series Volume 1, 2014.

IV. Assignments and Grading Policy

- **a.** Homework / Class Participation 40%
- **b.** Case Study Presentation / Report 30%
- **c.** Final exam Take Home 30%

V. Course Outline (By Week)

Principles of C4I

Date Topic

- 26-Aug Class Intro and Overview of C4I -- Command Intent (Dr. Hieb)
 - 2-Sep C2 Fundamentals, Enduring Principles, and Conceptual Models
 - 9-Sep Decision Making and Decision Support Modeling for C2
- 16-Sep Situational Awareness / Information Fusion / Computational Models of Uncertainty
- 23-Sep Operational Planning Processes and Frameworks
- 30 Sep Intelligence Processes
- 7-Oct Introducing "Power to the Edge"_
- 14-Oct No Class (Columbus Day)
- 21-Oct Dealing with "Edge" Organizations
- 28-Oct Measuring C4I Effectiveness
- 4-Nov Planning and Course of Action Development
- 11-Nov C2 Concept Development and Experimentation
- 18-Nov C4I / Information Systems Engineering Frameworks (Architecture)
- 25-Nov Implications for C4I Systems Design and Engineering in the Future
 - 2-Dec Review / Hand out Final Exams
 - 9-Dec Final Exams Due

VI. Instructor Availability

The instructor will be available for assistance before and after class, or by appointment. Call (703) 409-7828 to arrange a time.

VII. Supplemental Readings:

a. Command and Control – Agility

- i. Alberts (2011) The Agility Advantage DoD CCRP, Washington D.C.
- ii. Alberts and Hayes (2006) *Understanding Command and Control*, DoD CCRP, Washington D.C.

b. Risk and Uncertainty

- Savage, Sam. The flaw of averages: why we underestimate risk in the face of uncertainty. Hoboken, New Jersey: John Wiley & Sons Inc, 2009. Print ISBN: 978-0-471-38197-6
- ii. Anything by Paul Slovic

c. Planning and Decision Making

- i. Klein, G. (1998) *Sources of Power: How People Make Decisions*, MIT Press, Cambridge
- ii. Kahneman, D. *Thinking Fast and Slow*, Farrar, Strauss & Giroux, 2011 Print ISBN 978-0-374-27563
- iii. Keeney, R. (1992) *Value Focused Thinking*, Harvard Press, Cambridge.

d. Complexity

i. Dörner, Dietrich. The logic of failure: recognizing and avoiding error in complex situations. Basic Books, 1996. Print. ISBN: 978-0-201-47948-5

e. Architectures

- i. Spewak, S. (1992) Enterprise Architecture Planning, Wiley Press, New York
- ii. Anything that explores the Zachman Framework
- iii. Wisnosky, D. (2006) DoDAF Wizdom, Wizdom Systems, USA.

f. Intelligence

- i. Charters, David, Stuart Farson, and Glenn Hastedt. Intelligence analysis and assessment. Great Britain: Frank Cass & Co. Ltd, 1996. Print. ISBN: 0-7146-4249-5
- ii. Clark, Robert. Intelligence analysis: a targetcentric approach. 2nd. Washington, DC: CQ Press, 2006. Print. ISBN: 978-1-933116-93-8
- iii. Lowenthal, Mark. Intelligence: from secrets to policy. 3rd. Wasington, DC: CQ Press, 2006. Print. ISBN: 1-933116-02-1